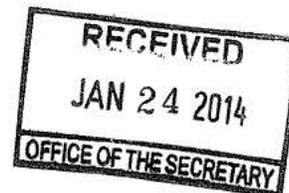




OFFICE OF
THE SECRETARY

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
100 F Street, N.E.
Washington, D.C. 20549



CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Joseph P. Doxey



Re: In the Matter of Joseph P. Doxey and William J. Daniels

Dear Mr. Doxey:

Please find enclosed the Order Instituting Administrative and Cease-and-Desist Proceedings Pursuant to Section 8A of the Securities Act of 1933 and Sections 15(b)(6)(A) and 21C of the Securities Exchange Act of 1934 (the "Order") in the above-referenced matter.

Your attention is directed to Section IV of the Order, which requires you to file an answer pursuant to Rule 220 of the Commission's Rules of Practice. The Commission's Rules of Practice can be found at <http://www.sec.gov/about/rulesofpractice.shtml>. Rules 220 and 310 of the Commission's Rules of Practice provide that if you fail to file the required answer or fail to appear at a hearing after being duly notified, you may be deemed in default and the proceedings may be determined against you upon consideration of the order for proceedings, the allegations of which may be determined as true.

Please file an original and three copies of your answer or other pleadings as required by Rule 152(d) of the Commission's Rules of Practice. Please also file a notice of appearance as required by Rule 102(d) of the Commission's Rules of Practice.

If you have any questions or wish to discuss any aspect of the proceedings, you may communicate with Ryan Farney, Senior Counsel, at 100 F St. N.E., Washington, DC 20549, telephone number (202) 551-4543.

Sincerely,

Elizabeth M. Murphy
Secretary

Enclosure

By 
Ryan Farney

eterson
Assistant Secretary





UNITED STATES
SECURITIES AND EXCHANGE C
WASHINGTON, D.C. 2054

ORNSION OF ENFORCEMENT

November 22, 2013

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

In the Matter of

**JOSEPH P. DOXEY and
WILLIAM J. DANIELS,**

Respondents.

Dear Mr. Doxey:

Pursuant to SEC Rule of Practice § 201.230, documents related to this matter are available for inspection and copying at the Securities and Exchange Commission's headquarters in Washington, D.C. Please note, however, that pursuant to SEC Rule of Practice § 201.230(f), a respondent in an SEC proceeding is responsible for bearing the cost of copying. If you wish to make arrangements for such inspection and copying, please call me at (202) 551-4543.

**ORDER INSTITUTING ADMINISTRATIVE
AND CEASE-AND-DESIST PROCEEDINGS PURSUANT TO SECTION 8A OF THE SECURITIES
ACT OF 1933 AND SECTIONS 15(b)(6)(A) and 21C OF THE SECURITIES EXCHANGE ACT OF 1934**

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I .

The Securities and Exchange Commission ("Commission") deems it appropriate and in the public interest that public administrative and cease-and-desist proceedings be, and hereby are, instituted pursuant to Section 8A of the Securities Act of 1933 ("Securities Act") and Sections 15(b)(6)(A) and 21C of the Securities Exchange Act of 1934 ("Exchange Act") against Joseph P. Doxey ("Doxey"), and pursuant to Section 8A of the Securities Act and Section 15(b)(6)(A) against and William J. Daniels ("Daniels").

II .

After an investigation, the Division of Enforcement alleges that: A.

RESPONDENTS

1. Doxey, 58 years old, is a resident of Boca Raton, Florida. Doxey founded Pure H2O Bio-Technologies, Inc. in 1989 and has served as the company's chairman, chief executive officer, president and director since its inception. Doxey participated in an offering of Pure H2O Bio-Technologies, Inc. stock, which is a penny stock. Answer: The above statements are correct.

Summary of Events & Understanding:The following answers are written with the intent to make crystal clear the history of the accused Doxey, including his intensity, motivation, dedication and sacrifice for the benefit of helping others first before himself.

To the Honorable Judge Cameron Elliott, Honorable Judge Brenda P. Murray, Ryan Frey, Nina Finston, Ryan Farney, Attorney's at Law.

Doxey is the husband to Elena Doxey for 23 years, a graduate of University of Florida and father to Nicole Doxey who recently graduated last May 2013 from the University of Florida and Gianna who is a senior in high school that has been recently accepted to 4 universities. Doxey is originally from Long Island, New York, born and raised, attended 12 years of parochial school prior to accepting an invitation to attend the State University of New York, located upstate at Oneonta. Doxey was a 4 year letterman in football, baseball and hockey and was offered an appointment to attend the U.S. Military Academy at West Point to play football after an undefeated 8-0 record. Doxey turned down the offer, serving five years after four, a state university will do just fine. Doxey, after pursuing two years of spring training invitations with the N.Y. Yankees, Boston Red Sox and the Toronto Blue Jays, Doxey became a financial consultant after a eighteen month learning curve at Bache, Hasley, Stuart, Shields, located in Manhattan, then working for an estate planning group on Long Island in connection with selling single premium preferred annuities and life insurance. On his own, Doxey wrote the first ten million dollar life insurance case in the history of 1,800 insurance companies. The industry had a one million dollar cap on all individual life policies. This transaction was a first for the industry, as many said it can not be done, do not waste your time. This transaction was a world changer that closed in 90 days.

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Further, Doxey formed a company known as Doby Guitars, Ltd., that manufactured custom solid body guitars made from oak, where the neck and body are cut as one solid piece, another first. The May 1983 issue of Guitar Player Magazine can be pulled up on the internet that shows Keith Richards of the Rolling Stones on the front cover holding one of the three solid one piece guitars sold to him. MTV just started that same year and gave us free air time on their daily news segment regarding Keith Richards as Doby's first premier client that stated he has never played anything better.

Further, a law firm in California contacted J. P. Doxey & Associates requesting a creative way to structure a financial vehicle to support a ten million dollar loan offered to them by the Bank of New York for production costs of the movie Death of a Dream. The rights to the book were held by Mel Bailey who is known for the movie Harper Valley P.T.A. His writer, Ted Post wrote numerous scripts including Death Wish, Advise and Consent, Poseidon Adventure and others. The script had already been written concerning the life and death of John Lennon that occurred at the front gate at his Manhattan residence known as the Dicoda. Doxey put a team together to structure an economic vehicle that would replace the industry standard of utilizing a tax sheltered vehicle commonly sold to investors to capitalize on a deferment of paying taxes for one - two additional years, another first. Doxey was recognized as a co-producer.

Further, Doxey was invited to Fayetteville, West Virginia where Mr. Rockefeller issued 23 river licenses to start up commerce in the state by utilizing the New River and the New River Gorge Bridge, the nations largest single arch steel bridge that spanned 3,000 feet end to end with a 900 foot drop down to the class 6 river below. In October, Bridge Day attracted over 300,000 people from various states to run the rapids, watch or participate in para shoot and bunji jumping off the side of the bridge. Nancy Hilton built the Holiday Inn at one end of the bridge and Doxey purchased 19 acres at the opposite end of the bridge. The two entities were the first pioneers in competition with each other for attracting river runners after a 20 mile run down the rapids. The Holiday Inn had processed food, where Doxey built a 16,600 square foot family restaurant made from 5 different types of wood from the surrounding mills, utilizing only tongue and groove, post and beam construction, not one nail was used due to hiring 12 educated craftsmen from the University of West Virginia., another first.

Further, Doxey spent 4 years flying in and out of Europe working with UniCoop Corporation, located in Amsterdam, where his grandfather was born and raised, an equivalent to a Lehman Brothers. Doxey was introduced to the Secretary of State of Kuwait doing business with Unicoop in Germany. Doxey structured bank notes issued from our U.S. banks trust departments, purchased bonds as collateral from either securities firms in Amsterdam or U.S. with the intent that the notes would be purchased from the government of Kuwait for cash to be used for economic development in their country or other scenarios. Further, the notes would be traded daily in the top 100 world prime banking system. This same structure was used by Lee Iacocca to turn Chrysler around in the aggregate amount of one billion dollars. The daily trading of the notes gave Chrysler the cash flow it needed to bounce back.

Further, Doxey was asked to invest in a new start up company, structure the recapitalization of a company known as Thermotemp, Inc., a Florida corporation. Doxey leased a condo in Boca Raton in 1986 and never returned back to New York. The two principals had pledged and leveraged everything, diminished all their resources in the amount of approximately \$500k. These funds built their first prototype out of a trailer designed to control temperature utilizing a

thermo blanket in different sizes from six inches to a full body blanket, manufactured in South Korea. At bedside, the patient could set temperatures from 40 degrees to 108 degrees at a turn of a dial. The idea behind this technology was due to cold ice packs eventually melting or sweat that caused infections. Heating pads were too hot and would burn the skin. A first for orthopedics to use for all post operative surgery patients. Doxey invested, structured a \$2m convertible debenture in units of \$50k each, wrote the offering memorandum, organized a video with doctors using the technology in the operating room, set up a booth in San Francisco, where 40k doctors came to see new medical products and inventions for a two week period. The company had invited doctors to a ballroom setting at the Saint Francis Hotel, located in San Francisco, CA, with Tony Bennett performing on stage over dinner. The entire offering was subscribed in one evening as the chiefs of staff watched the 15 minute video. Doxey flew to Germany to set up cross license agreements and upon returning the company was sold for \$20m. The company is now worth over \$200m with Thermotemp systems in every hospital in our nation. Doxey is responsible for putting the start-up company on the right tract.

Further, Doxey formed a company known as Pure H₂O Bio-Technologies, Inc., after learning that Stone Philips, an anchor employed by NBC Dateline who conducted a 3 part series in connection with finding cryptosporidium, a deadly waterborne pathogen in every water supply in the nation. Philips approached Carol Brenner, the head of the EPA at that time for a televised interview regarding his findings. It takes only one Cryptosporidium oocyst to break open inside the body that is deadly to a person with an immunocompromised system such as Aids patients, chemotherapy, etc. An attorney in a New York hospital was interviewed by Philips lying in bed stating that it was the Cryptosporidium in the New York City water that made him sick. The very next day he passed away. Carol Brenner finally admitted to Philips that the EPA does not have the second science. Doxey decided to do the governments work. Doxey capitalized the new company with the funds earned from Thermotemp, started to conduct research of all common technologies being used today to combat waterborne diseases.

The disinfection technologies that have been around for years are UV light and Ozonation. The Company found that UV light works well at 300 millijoules, only at waste site. Although residences, commercial buildings or hospitals are only allowed up to 50 millijoules. Reverse osmosis was designed by our military, particularly the U.S. Navy to take salt out of the water. It is a pressurized system that takes 5 gallons of water to produce 1 gallon of potable water. The filter membranes are very large that a golf ball can get through. Most micron filters have their problems; usually clog up rapidly as they are designed to trap an oocyst. Granular activated carbon filters are good to strip out chlorine and heavy metals. The EPA stated that cryptosporidium is approximately 5.0 micron in size. We found this waterborne disease to be pliable in form that changes its size down to 0.2 micron. The EPA knows that the consumer would need to purchase a 0.1 micron filter to trap a 0.2 oocyst. The smaller the micron filter the faster it will clog up with a cost factor that today's consumer can not afford.

At this stage of the research conducted by Stone Philip's, with no second science the EPA announces to our nation that a 5.0 micron is the size of a cryptosporidium oocyst and there are 4.0 micron filters on the market to trap the oocyst. This is a sad misstatement by our EPA after our aforementioned findings.

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Merck, Pfizer, DuPont, Johnson & Johnson and other majors try to come up with an idea, place \$20-30million dollars into their research and development testing over a 25 year period and 90% of the time come up short. PHBT was incorporated in September 1989 and by 1994 after its findings recruited a team of professionals including microbiologists, engineers, and other professionals who thought Doxey's ideas to find a new science and delivery system was a worldwide need and came aboard and to committed their time on a project to project basis toward this new challenge to combat waterborne diseases.

In regard to our first testing system, the Company retained the services of Liuzzi Laboratories as Doxey worked close with EMDI Engineering located in Bayshore, Long Island, particularly, Roger A. Kamm who was retained to draw up the first round of water flow diagrams for our intended point of use systems including under-the-counter, whole house and well systems. Kamm put together our first stainless steel testing system to deliver to Liuzzi for testing with Iodine. At this early stage, the Company had tested its components utilizing a halogen, particularly diatomic 95% inert grade iodine biocidal agents in conjunction with submicron and granular activated carbon filters to remove the iodine, metals and objectionable biomass. Liuzzi, purported to be registered FDA laboratory, who used a certain stain test method. Their report stated the PHBT system had demonstrated a 5 minute contact time utilizing 100 ppm of diatomic inert grade iodine that assured a (7 log) 99.99999% kill factor against Cryptosporidium.

Dennis Boudreaux, P.E., Mark Jones, M.S. and Doxey conducted further tests in their Boynton Beach, Florida office to measure iodine levels at the end of our first under-the-counter prototype, built in Louisville, Kentucky. With the expertise of Mark Jones, M.S., he rented the appropriate equipment to conduct the final water testing and walked us through the process. All results were documented by Boudreaux and Doxey. To test the final water is easily done in 4-5 hours. The same process shall be completed by Doxey & Boudreaux before we travel to NSF in regard to our Hospital System. (i) NSF will verify our results of the final water after a two hour contact time from the holding tank to reach greater than the 3 log criteria for NSF from our current 1.7 log already achieved and reported, (ii) at the same time test the final water for any toxic compounds, the Company will submit the public documents regarding the toxicology tests received from Felkner regarding Jonas's certification utilizing Silver TTO for swimming pools that can be used or followed if necessary and (iii) run polio under P231 shall be completed. This was the Company's plan back in June 2008 upon receipt of delivery of our Hospital System shipped by Tom Hargy. We shall show all emails regarding this issue. Please be advised that the Company appreciates all the work conducted by Felkner, a great man and like me can not remember everything all the time. The Company would not have instructed Tom Hargy to pack and ship the Hospital system back to us in June of 2008 if there was more work to be done by Clancy. The Company paid in excess of \$5,000 to get all the materials, the hospital unit, samples from U.S Bio-Systems, etc., including travel expenses paid to two (2) former U.S. Marines to Vermont. These Marines were prepared to set up the system for Tom Hargy where Boudreaux had trained them in Florida. In order to ship all the materials and unit back down in the custom crates made in Florida cost the Company \$1,200 and \$600. to send via UPS ground. When you run a business and are taking all the risk, memory or no memory you always know what is stated and actions taken at all times. Not knowing is an excuse, not remembering is also an excuse.

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Further, the Company has all documents to show to disprove all of Farney and Finton's claims against me and my Company. I will be sending our documents as evidence with numbered Exhibits for each allegation, including the following:

(i) the Form D filed with the SEC with related resolutions, notarized Electronic Filer Acknowledgment, date of such filing, special request to issue Password to File Form D, CIK Number, Accession number, SEC confirming that the FOLLOWING SUBMISSION HAS BEEN ACCEPTED BY THE U.S. SECURITIES AND EXCHANGE COMMISSION. FORM TYPE: ID-CONVERT.

(ii) Investor Questionnaire sent by Daniels to the Company via fax transmission dated September 9, 2008 stating the following: (i) Net Worth: in excess of \$1,000,000, (ii) my individual net annual income for the year 2007 in excess of \$500,000, (iii) Affiliation with the Company (None), (iv) has invested in excess of \$1,000,000 in the past five years in equity or debt offerings of business entities, (v) Principal occupation is Public Relations/Investor Relations/ Finance, and (vi) educational background degree from University of Maryland 1991. Please be advised that our legal counsel and Director of Pure H2O Bio-Technologies, Inc, for 12 years, particularly, Mr. Bruce Keihner handled due-diligence on all financial transactions, including Daniels and Big Apple. All our attorney's over the years are paid big money for their expertise the Company and other rely on including writing subscription agreements, opinion letters, communications with our transfer agents, etc. Mr. Keihner had passed away after we both executed the \$5,000,000 Reg 506 with Mark Kaley, Esq., Mark Jablon and his brothers at Big Apple who defrauded us. Daniels had contacted me and stated that he can help the Company turn the Big Apple problem around. Daniels was in the hospital for at least two weeks calling me everyday. He had adopted two small children who were very unhealthy, lived across from the marina in Land-o-Lakes, Florida. The Company gave him a chance to prove himself as we executed a Consultant Agreement. The company retained other legal counsels, particularly, Joseph Galardi, Esq., Frank Brady, Esq, Mike Stauder, Esq. and Larry Brownstein, Esq., regarding two note holders who loaned the company funds in the year 2000 and had not heard from them until 2006-2007 after they learned that we were doing well, purchased property, manufacturing facility, Big Apple, successful testing with Clancy and U.S. Bio-System, etc. They contacted me and we agreed to a payment schedule and sent them 3 checks until they filed a civil suit in Palm Beach on my wedding anniversary so they would not lose regarding the 4 year statute of limitations on loans. Daniels suggested that he would purchase the debt from the note holders. We shall forward approximately nine (9) documents that Daniels executed with the note holders prepared and written by our legal counsel, Joseph Galardi, Esq., Daniels defaulted and Bruce Keihner, Esq., and I settled the case \$.50 on the dollar. My mother in-law sent the two final payments to Keihner's Trust account in the amount of \$62,000. Both Keihner and Galardi wanted me to sue Daniels. I did not hear from Daniels until we were contacted from Alexis Palasak, senior counsel with the SEC Division of Enforcement. We had sent her the accounting regarding Daniels transactions dated August 31, 2011. Daniels stated to me that he did not make any money but to the contrary as stated in this OIP I learn differently. The amounts described in this document tell me he doubled his money when that money was to be sent to the note holders that he contracted with.


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May 22, 1997 the Company was cleared to trade through the National Association of Securities Dealers (NASD) to trade its class of common stock on the Over the Counter Bulletin Board (OTC) under the symbol PHBT. It took approximately 12 months for Equitrade, located in Lahoya California particularly, to walk us through. We were cleared due to the immediate families of the Board of Directors and their centers of influence who had invested that it was recognized to put their money where their mouth is.

Doxey is also the majority shareholder including three classes of stock, including Common, Class A Preferred and Class B Preferred. Doxey and his immediate family have invested over the years in both equity and debt in the form of loans secured by real estate in excess of Seven hundred thousand (\$700,000) dollars. The Directors of the Company have invested along with their immediate families over \$350,000 over the years.

Further, Doxey also closed a Tax Exempt Industrial Revenue Bond in the aggregate amount of eight million eight hundred sixty five thousand \$8,865,000 dollars through Enterprise Florida, located at the State Capital in Tallahassee, Florida. The economic development board approved unanimously the aforementioned amount with confirmation by former Governor Jeb Bush. Doxey retained the following parties to work in connection of this bond issue: George Schneider, the bond underwriter for Credit Research & Trading LLC, located in Greenwich, Connecticut; Underwriter/ Placement Agent's Counsel Kutak Rock, Washington, D.C., Palm Beach Economic Development Counsel Morris G. Miller, Esq., Issuer's Counsel, Kipnis Tescher Valinsky & Kain, P.A., Securities Counsel for Pure H2O Bio-Technologies, Inc., Brady and Brady, P.A., Real Estate counsel for Pure H2O Bio-Technologies, Inc., Economic Analysis for Pure H2O Bio-Technologies, Inc. written by Eric Jones, PhD. and CFO Dennis Rhodes, Marketing Plan for Pure H2O Bio-Technologies, Inc. for its water disinfection systems written by Mark Jones, M.S. located on Colorado, Camp Dresser and McKee, conducted an engineering and financial feasibility report with the recommendation for Pure H2O Bio-Technologies, Inc., to go forward with its business plan and production.

Saudi Arabia Trip August 2001 spoke at the King Saud University came back with purchase orders from Rajob & Silcila and a company from Egypt. The events of 9/11/01 took place as we thought we were out of business. We closed our office in Boynton Beach shortly after, moved our inventory to my brothers building and we all worked out our homes. In June of 2008 we were confident that PHBT developed a new science utilizing Silver TTO, thanks to Dr. Cecil Felkner, Mark Jones, M.S., Tom Hargy, Dr. Jennifer Clancy, U.S. Bio Systems, Al Pastore and Jonas supporting PHBT to use their Silver TTO to conduct testing for the purpose of drinking water. PHBT has come through with the second science that out performs all the aforementioned 100 year old technologies still used in the market place today. The next step was NSF final certification for our hospital system planned for August 2008. September 15, 2008 the bottom dropped out with Shearson Lehman filing bankruptcy, market crash with investors nationwide losing 2 trillion dollars, no investor confidence, major companies had no plans to go forward until there were answers from the administration. Luckily, we purchased property in Blairsville Georgia at a major discount in 2007-2008 in a down real estate market, secured a building and convinced the bank who solicited me for two years and turned them down because we thought Big Apple was behind us as long term financial partners, according to Mark Kaley, Esq. We had set up money


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market accounts and corporate checking accounts for Pure H2O and in 2009 took them up on their offer and borrowed \$253,000 based on their appraisal in the aggregate amount of \$500,000.

The bank was well aware that the Company paid into the project approximately \$125,000. We were offered 60% loan to value although we just wanted to cover the initial \$125k and the new loan in the amount of \$253k. The combined coverage in the amount of \$378,000 with the \$500,000 appraisal in hand in the event of a forced sale was reasonable and comfortable enough to cover the bank and Pure H2O. My wife and I also executed two additional lines of credit in the amount of \$53k each and loaned the company \$85,000 and paid \$20,000 via credit cards to CPA's, Kentucky attorneys, 4 Florida Attorneys regarding Daniels default, paid Felkner who had not received a check for a two month period and others related expenses. We also liquidated my youngest daughter's college fund.

Fraud in the inducement with Big Apple, sold our stock down to \$0.0 with no bid. All cash flow stopped. Secondly, Daniels defaulted with the note holders had hurt the company badly as we were under damage control. I sent two reverends in Michigan to visit NSF to let them know where we were at with everything. Michigan had a 17% unemployment rate. I called NSF that same week to discuss the conversation they had with the Reverends. We came up with a new number regarding the costs for final certification.

The banks foreclosed on the building as I personally have been issued a judgment on the principal amount of \$253k plus 15% for legal fees to the bank and interest due that exceeds 300,000 in total. The issuing bank filed bankruptcy and a new bank was started and structured to purchase all the debt in Georgia in the amount of \$2 billion dollars. They bought my building on the court room steps for \$62,000. They told me that the appraisal is meaningless. The new owner today paid \$50,000 and is in my building waxing his toys. The two lines of credit secured by real estate were foreclosed on. Boudreaux loaned the Company \$13,500, Wayne Garkie, Cpa and director invested over \$250,000 over the years and at this time September 2008 loaned an additional \$5,000 and paid some of the company expenses with his credit cards, R. Canadeo/mother n' law loaned over \$70,000 and Elena and I loaned approximately an additional \$110,000. These funds kept the project going and covered living expenses. We were trying hard to bring in more working capital when we were contacted by Chastain & Etcheson, LLC, a law firm who were willing to purchase the debt from the Settlement Agreement with the Kentucky thugs in the amount of \$500,000 with additional financing in the amount of \$5million. See Press Release dated January 29, 2009 titled Pure H2O Bio-Technologies, Inc., Announces Engagement of Chastain & Etcheson, LLC for Final Certification Process. This press release is not being recognized but buried by Finston and Farney, why is that? This press release tells the investors who are watching closely our progress, and know exactly where we are at and where we are intending to go. They lost their IRA's, pension accounts, stocks, bonds, annuities, had their homes foreclosed on. Our stockholders are not stupid and support our efforts over the years and even now in these bad times. Daniels \$47,000 loan conversion is the issue, not filing a registration statement and nit picking on 5 press releases, accusing me of fraud, etc. I can not believe that last July 2013 Finston and Farney contacted me to settle this investigation and had stated to me we are not going to fine you, just admit that you did not file a registration statement, misrepresented yourself to investors per 5 press releases, etc. I am not going to admit to such allegations that are merit less and untrue so they can put a feather in their cap. We were offered money and I would not take it due to this informal routine investigation as purported to me by Ms. Alexis Palascak and not being confident where our country is heading. I owe money to family, business associates and friends. I personally lost over

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\$1.5million and have not filed income for the last 4 years. Need this case to be dropped. When I relocated our inventory after we lost our building, I gave most of it away, but packed other inventory for 4 full days in a 16 foot trailer to bring back to Florida. On Easter Sunday, my 3 nephews helped me unload the trailer. The next day I took another ride in the red truck and had 5 stents put in. I am a reasonable man and have cooperated for over 3 years now with this issue. It is time to stop.

The Company raised approximately \$6.7m over a 14 year term, wrote our own protocol, has a proven science and received a patent on our delivery system. The Company tested against pathogens, viruses and bacteria that are resistant to chlorine. Our nation uses chlorine in all our municipal water systems. Pure H2O Bio-Technologies made a request to Harvard University to make an announcement that chlorine is carcinogenic that causes cancer in animals and humans. Besides finding the second science the need for the delivery system is critical. Dr. Todd Stong, P.E. drove from Pennsylvania to Florida to help our team of engineers to improve our prototypes. Dr. Stong wrote two reports "Evaluation of Suitability of Technology for Market" and a second report regarding our highly engineered delivery system.

In late 2004 -2008 the Company retained Dr. Cecil Felkner, a microbiologist, biochemist and toxicologist who reviewed these tests from Liuzzi and forwarded the Liuzzi reports and related documents to Clancy Consultants, Inc., located in Vermont, under the direction of Tom Hargy, senior scientist. Hargy discovered that these iodine tests utilizing a stain method were unreliable. Users of iodine resin systems, particularly back packers or hikers, it is recommend to pass water a few times through it and a 10 minute contact time be allowed before drinking the final processed water.

In 2004 Dr. Cecil Felkner suggested we start testing with tetrasilvertetraoxide (TTO), a disinfectant that was approved for swimming pools in North Carolina owned by Jonas. Dr. Felkner wrote a new protocol for the Company in connection with Tom Hargy conducting tests against Cryptosporidium and E. Coli. We completed our testing and it was reported that we had achieved a kill of 1.7 logs against Cryptosporidium. The NSF criteria for pathogens is 3.0 logs. The Company would increase the contact time to achieve 3-5log. In June of 2008, Clancy shipped back to the Company our Hospital System Model 7000 delivery system that was set up for testing with 6 valve points for easy access.


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1. Clancy Laboratories located in St Albans, Vermont, particularly Tom Hargy, lead scientist, who worked with our lead microbiologist, Dr. Cecil Felkner, who wrote the protocol for a new science to kill microorganisms in water. Two (2) additional patents were filed with the U.S. Patent and Trademark office, Washington, D.C. Clancy Laboratories, Benchmark and U.S. BioSystems received approximately \$85,000 in a period from 2004-2008. In 2007, we had received confirmation regarding the bench tests that were conducted successfully. (There is a press release regarding this issue, need to find the date published) In June of 2008, we were informed by Dr. Felkner and Tom Hargy that testing was completed and we need crates to ship the system back down to Florida. Further, the data, letters and tests shall follow. We received all the hard originals including Hargy's letter to the company, reports, intellectual data, Q & A's, protocols, and related documents that same month of June of 2008. We shipped two custom crates made for the Hospital delivery system and various materials UPS ground. 071613, I do not know the discussions or questions asked or requests of documents made by the SEC to Clancy. The last communication with Clancy was in July 2008. We had an outstanding invoice in the amount of \$8,500.
2. Dr. Cecil Felkner, microbiologist/toxicologist, West Palm Beach, FL.
3. Dennis Boudreaux, PE, Boynton Beach, FL testified in Miami
4. Observation Capital, Jim Daniels, located in Orlando Lakes
5. Joseph P. Doxey Testified January 2013 in West Palm Beach

Additional Information:

2007

We purchased a corner lot on a main throughway that connects the Carolina's to our building. Further this route takes you straight to Atlanta going West to Route 75 south to Florida. This new facility is a steel structured building for the commencement of our first Pilot Program of 100 Hospital Systems known as Model 7000 to be installed by a 40 year old company in South Carolina, known as Northcutt Corporation who contacted us and signed a letter of intent for a service contract with us including the installation, replacement and service subject to the final certification with NSF. (See Press Release). Further, we received a patent number of our potable water delivery system that was approved in 2007. Further, in 2007, the company was seeking a broker-dealer or a strategic partner to undertake a \$5million dollar raise in the form of a Reg. D Private Placement. The Company intends to conduct a two year audit and file a S-1 Registration Agreement to bring the company back to the OTCBB or AMEX as a reporting 12-G status company. We have received the retainer agreements from the chartered accountants and legal counsel.

December 2007-2008 The Company was originally contacted by a rep from a public relations firm in New York, Tom Special, who specialized in this field and was located in Huntington, Long Island where I was born. We had retained this PR Company for \$400 per month to distribute our press releases. Tom left that Company and became a marketing consultant for Big Apple. Tom had become a fan of Pure H2O, reading about our progress and where we were going. We never heard of Big Apple., MMJ Investments, Jablon brothers located in Orlando Florida.

Tom Special telephoned me after he was settled in at Big Apple, NY and he knew that our company was seeking \$5m. Long story short, Big Apple was talking \$1m. I said no, due to the fact that I had raised approximately \$6.4m by myself over the last 16 years or so. We never had more than two hundred thousand in any given year. We were lining up everything for the next level a \$5m capital raise to handle, NSF certification of 4 products approximate cost \$125k. Complete the building and start up costs for manufacturing (\$150k), NSF certification included the inspection of a clean facility, (see: press release in connection with building), NSF audits our vendors and makes sure all systems go out the door with the same nuts & bolts. This is an exercise to go through as a manufacturer, written report required for every quarter of the year for the life of the company. (Cost to NSF unknown at this point).



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We filed Form D only 4 times in the last 12 years of trading under Reg. 504, a regulation that has been abused by many and frowned upon by the SEC. Most companies that I know elect to raise \$1m every year. We raised funds only to cover the costs of the objective we were up against at that time, either engineering designs, prototypes, micro-testing at Clancy Laboratories, US Bio-Systems @ FAU research & development complex and Benchmark, financial audits, legal, research, building, start up costs, etc.

Our legal counsel and director, Bruce Keihner and I spent 3 days in Orlando to hear what they could do for Pure H2O. I was originally contacted by their office in New York by their marketing man, who I said no to. The company was seeking \$5m and they were talking \$1m. I raised approximately \$7m over the last 16 years or so. Many companies that I know about elect to raise \$1m every year. We raised funds only to cover the objective at that time, either engineering designs, prototypes, micro-testing at Clancy Laboratories, US Bio-Systems @ FAU research & development complex and Benchmark, financial audits, legal, research, etc. The attached press releases are 4 of 5 selected by the SEC investigation accusing me of stock manipulation.

2008 Felkner /Hargy Upon being informed by Dr. Felkner and Clancy Laboratory, particularly, Tom Hargy, reported that we had passed all the bench tests, kinetic tests, and the pre-certification tests, we put the shovel in the ground. The next step was to ship our Hospital from Clancy Labs in Vermont directly to Dennis Boudreaux's home in Boynton Beach. This delivery occurred sometime in June of 2008. Dennis planned to travel with me to Michigan after he prepared the system, making it market ready for NSF. This included changing out all the existing lines, adjust the pumps, filters and remove the testing valves that were designed and installed for easy usability for Clancy to pull water from at each point to test. Boudreaux set these testing valves for the benefit of Hargy's kinetics. We were then going to drive the finished delivery system to Michigan at NSF. We intended to bring all our intellectual data including tests results from Clancy and U.S. Bio-Systems, Q & A's, engineering designs, photo's of the secured manufacturing facility in Blairsville, GA, vendors lists detailing every part in the system, cost sheets, etc. We further intended to have our legal counsel on stand by for a telephone conference to discuss the executed non-disclosure and confidentiality agreement that we need to be amended. The executed contract agreement to be amended with an Exhibit regarding the new protocol that Felkner and Hargy wrote.

Unexpected call to duty: I was called to Indiana for a mediation with Judge Lurch in regard to a settlement agreement with two defendants that were issued a judgment by an unanimous jury verdict in Broward Circuit Court in the amount that now exceeds \$1m plus 6% interest accruing for a term of 23 years.

Unexpected Big Apple Fraud: Big Apple had decided to sell Pure H2O stock held in escrow with Ben Growcock, Esq. This action stopped the cash flow that we were counting on to complete the certification, complete the building, set up assembly, hire personnel, conduct audits to become reporting (12-G status), commence the manufacturing of the Pilot Program 100 Hospital Systems that would give us the first revenue ever recorded after years of trial and error, testing and retesting, filing 3 patents, etc, etc. Big Apple knew that I was traveling 750 miles each way from Boca to Blairsville to pay the workers every Friday. Big Apple knew that I was in Indiana. Big Apple was calling Felkner for press releases. Big Apple said the check was in the mail. Big apple crashed our \$.56 stock price down to \$.001 without a bid price. I drove from Blairsville to Orlando to meet their attorney Mark Kaley to find out if we were going to sue his company or not. Big Apple kept our Web-Site (150 files) as hostage if we did not reconsider to do a workout plan.

Further, with all the great progress in regard to settling with the defendants in Indiana, completed all the testing at Clancy and US Bio-Systems, Hospital System shipped to Boynton for modification, ready to go to NSF for final certification., building is secured with the end walls, electric is on ready for the power panel.

Unexpected Claim from Note holders: On top of the good news two Note Holders had filed suit and convinced the judge in Palm Beach to issue a judgment. We had run out of funds and I went before the judge myself. These characters had their notes on the books for 8 years or so, stating that they were going to convert to stock once we commenced manufacturing. We had not heard from them for

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at least 4-5 years. We found out that they stole \$5m from Cisco and hid \$100k with Pure H2O. They were indicted and had to repay the \$5m. They planned from the beginning to hide the \$100k until their judgment day came and went with Cisco. We settled with them for a lesser amount. Those were the funds to use for travel and the NSF contract. The NSF contract was executed by me and the CFO of NSF without a written agreed amount.

Big Apple SEC: I wrote a 4 page letter to the SEC in Miami, in regard to 4 other companies contacting me discussing to do a group filing suit against Big Apple. Again, long story short, the SEC was notified by me, SEC sent me the boiler plate letter that they can not disclose any information about their investigation. In the last 4 years or so, Mark Kaley, Esq., Ben Growcock, Esq., the (3) Jablon brothers were indicted and the SEC has received \$3m. Pure H2O and the others are sitting with \$.0. I have a plan to discuss with you on Thursday. I want to cover what I know regarding their play book.

September 2008: Shearson Lehman files bankruptcy No investor confidence - Game over.

2009: Appalachian Bank appraises the new building at \$500k. Approves a loan for 70% loan to value on the building. We accepted 50% loan to value in the amount of \$253k. (See Press release) Meeting held at Appalachian Bank, Blairsville. Attended meeting were Mr. Crystal, President of the bank, Daryl Allison, Mitch Griggs, Georgia Economic Development and Joseph Doxey.

June 2011 SEC contacts me and states the following:

- (i) you did not file a Registration Statement.
- (ii) you manipulated the stock price regarding 5 press releases.
- (iii) You do not have a contract with NSF

And as of last Friday July 12, 2013 Finston and Farney were together on the call and Finston did all the talking:

We are sorry that it took all this time to get back to you. (Initial call came in on July 3, 2013 at 5:30PM.) 9 days later they speak and I do not talk at all. Mr. Doxey you wanted a resolution to this case, so here we are. We now have new time constraints to follow. We want you to agree to the following:

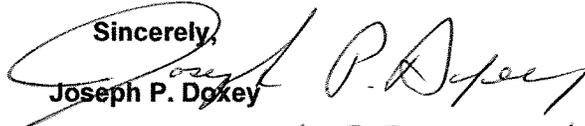
- (iv) We will not charge you a fine if you agree to these points:
- (v) That you never received the final tests results from Clancy to submit to NSF, due to non-payment and that I never intended to go to NSF.
- (vi) I did not seek information that Observation Capital, that Jim Daniels was an accredited investor.
- (vii) Since you stated in your testimony that you were responsible for the press releases, that you agree to acknowledge that the press releases were intended to manipulate the stock price.
- (viii) that Observation Capital, Jim Daniels relied on those press releases....(something to this effect) and;
- (ix) that you agree not to be a director of a public company now and in the future.

The attached press releases are 4 of 5 selected by the SEC as part of their unmerited allegations. Tomorrow: I am calling for the history of all stock sales from years 2007, 2008 and 2009 to show in 2007 upon signing the agreement with Big Apple our stock price was sitting at \$.62. The stock price in 2008, 2009 and 2010 never exceeded \$.001-.0002. A time line of the stock prices in connection with our press releases will show her claims are unmerited. As to filing a registration statement, I covered that issue at the testimony in Palm Beach. (1) Our Stock transfer agent has a very good reputation for due-diligence. If there was a red flag regarding a required registration statement is needed to issue stock, they would have advised us via telephone then via written letter. Secondly, we have four (4) attorneys on record with our transfer agent as their opinion letters are on file for you to subpoena and digest. The one thing they all have in common is that there is an exemption in this particular case. All

QAD
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four (4) opinions on file at Continental are different to a degree. I look at it that the exemptions offered in any case are an interpretation of law. The Company requires our attorneys to copy us their statutes right from the law book upon completion of their research. In the event that our legal counsel found that a registration statement is necessary we would have conducted ourselves accordingly and produced one and filed it with the SEC office, along with a 5 copies of confidential corporation disclosure statement. We rely on our legal counsel's for their expertise and written opinion and that is why we pay them money. (Finston had a little trouble with her knowledge concerning exemptions). I have all the rebuttals for the above claims that I will discuss with you. I am confident that we can prove all of her allegations will be challenged and repudated. I spoke at my testimony in Palm Beach that covered the allegations regarding press releases, registration statement, Jim Daniels not being accredited and NSF not having a contract. We started talking to NSF in 2007... Mark Jones, M.S. and I.

Nina Finston accused me of lying in regard to not having an executed contract with NSF. Finston said we spoke with Marian? and she said that she did not know of any signed contract. Well, the official protocol in regard to money and contracts is conducted by their CFO. He and I both executed the contract and that same day after my testimony I e.mailed it to Finston. She has not yet apologized. She is very misguided, abusive, completely false in all the above accounts, playing with my integrity. This is all meritless, there is no case. I told her at the testimony that I wanted to see the formal complaint. Prior to the testimony she stated that she has two witnesses and I am not entitled to see the complaints or the parties. I want to demand a meeting at your office. Upon her o.k. I want the SEC to return the money to Pure H2O that was collected from Big Apple. The SEC collection in excess of \$3m. I want to find out who originated this investigation. Need to find out if Clancy Labs, Tom Hargy and Cecil Felkner gave up our confidential information, including intellectual data, tests results, written protocol, etc. Another thought, Finston stated above that we never received the final tests from Clancy due to non payment and that we had no intention to go to NSF. Well maybe Hargy said that to her because he knows what liability lies ahead. We have received everything from Clancy, as I stated earlier we paid Clancy and other related parties over \$300k and yes we have one outstanding invoice in the amount of \$8,500. I guess that the buyers of Big Apple's Pure H2O's stock lost their money and are mad as hell. We will show that there was hardly any money to talk about or show in terms of dollars that benefited the Company. I am now a Madoff who manipulates the stock market... conspiracy. My wife found on the internet that the SEC has everyone they go after to agree to their terms in connection with press releases, the same rift as Finston is accusing of me. Not one of our directors or consultants have ever filed a 144 package with the SEC to sell their shares since 9/11/2001 that I am aware of. I will get back to you to discuss further. Thanks for taking the time to listen to my concerns.

Sincerely,

Joseph P. Doxey
1-23-2014

2. Daniels, 44 years old, is a resident of Port Richey, Florida and was the sole officer, director and shareholder of Observation Capital, LLC during the relevant time period. During the period 1991 through 2000, Daniels worked as a registered representative at broker-dealers and held Series 7 and 63 licenses. Answer: No due-diligence was done in this regard.

Daniels participated in an offering of Pure H2O Bio-Technologies, Inc. stock, which is a penny stock. Answer: Correct Daniels purchased Pure H2O Bio-Technologies, Inc., stock via Subscription Agreement(s) as was purported to be an accredited investor via his executed questionnaire dated September 9, 2008.

B. OTHER RELEVANT ENTITIES

Pure H2O Bio-Technologies, Inc. ("Pure H2O"), incorporated in Florida in 1989 and headquartered during the relevant period in Boca Raton, Florida, purported to be developing the Integrated Hospital Potable Water Disinfection System ("IHPWDS"), a water disinfection system that would be used for residential, commercial, hospital, and medical facilities. The State of Florida dissolved Pure H2O in 2011 for nonpayment of fees; the company was revived in 2013 and is currently listed as active by the Florida Department of State. The company's common stock is quoted on the OTC Link (formerly "Pink Sheets") operated by OTC Markets Group Inc. Answer: Correct On September 15, 2008 upon Shearson Lehman filing bankruptcy in connection with the house and stock market crash the Company was put on hold.

1. Observation Capital, LLC is an inactive Texas limited liability company which purportedly maintained its principal office in Texas during the relevant time period. Answer: The Company has no knowledge of the status of Observation Capital at this time and yes it was purported that Observation Capital held an office located at 15123 Regina Lane, Harlingen, Texas 78552

2. DOXEY'S MATERIALLY FALSE PRESS RELEASES

1. From April 2008 through May 2009, Doxey drafted and caused Pure H2O to disseminate six press releases which stated that certification of the IHPWDS by an independent product certification laboratory was expected in three to four months or within the quarter the release was issued, or was underway. The press releases were issued on April 1, 2008; October 22, 2008; January 29, 2009; March 3, 2009; April 1, 2009; and May 4, 2009. Answer: Doxey assisted in second and final drafts of the Company's press releases. The PR firms contacted the company's directors and consultants regarding the technical and science for varification. Editors from Business Wire and others news distribution centers would add their expertise. All information regarding our testing, technology, science, engineering or finance would be initially drafted by the person who had particular knowledge in the different aforementioned areas of the company.

2. The press releases identified the independent product certification laboratory as the "[U.S. Environmental Protection Agency's] contractor National Sanitation Foundation." This entity, which had actually changed its name some years prior to the press releases at issue to NSF International ("NSF"), had developed a protocol for certifying water

purifiers. Certification pursuant to the protocol was not legally required during the relevant time period, but was regarded by Pure H2O personnel as critical to marketing a water purification system to hospitals and medical facilities. The NSF certification was widely recognized in the water treatment industry as providing third-party quality assurance. Answer: Correct

3. Pursuant to the protocol, NSF would test a product to ensure that it reduced potentially harmful contaminants and organisms; did not leach contaminants into the water; generated drinkable water; and was structurally sound and would not leak or burst during use. As part of the certification, NSF would also visit the production facility to ensure that the product was being manufactured in a manner consistent with information submitted to NSF. Answer: Correct

4. Each of the six press releases was materially false and misleading because the NSF certification process never commenced. Answer: Reject as unfounded

5. Pure H2O never completed its pre-certification testing, which was critical to proceeding with NSF certification, Answer: Emphatic denial, chiefly because Pure H2O was short of funds by mid-2008. Answer: Reject as Unfounded

6. Pure H2O never submitted to NSF the information necessary — including the IHPWDS product, product specifications, and precertification test results — for NSF to perform a product certification. Answer: Correct

7. In addition, the time required to complete certification was beyond that referenced in the press releases, Answer: Refusal to accept Claim

8. prior to March 2009, the company did not have the funds necessary to build a manufacturing facility, the inspection of which was a requirement for certification. Answer: Emphatic Denial,

9. The press releases exaggerated the status of an implied governmental regulatory certification for Pure H2O's product. Answer: Untrue, Lastly, a material omission in the press releases was the failure to disclose the company's lack of resources to complete precertification testing, pay for a manufacturing facility, and pay for NSF certification. Answer: Reject as Unfounded, See: Chastain & Etcheson, LLC press release dated January 29, 2009 in regard to Mezzanine Financing.

10. Each press release had the effect of materially increasing either Pure H2O's stock price and/or trading volume over the prior day's trading. Answer: Reject as Unfounded

11. Doxey had final authority over the distribution of each press release; Answer: Correct, controlled the substance of and substantially participated in drafting, Answer: Repudiate will Challenge the Allegation, if not drafted in its entirety, Answer: Untrue each of the materially false press releases; Answer: Untrue,

12. and directed their dissemination to the public via a wire service and by having them posted on Pure H2O's web site. Answer: Merit less, shall challenge the allegation

13. In addition to misrepresentations and omissions to the general public made through press releases, Doxey misrepresented the facts to Daniels in inducing him to purchase Pure H2O securities. Answer: Emphatic Denial. In late summer 2008, Daniels read Pure H2O's April 2008 press release, and came away with the impression that the IHPWDS was beyond development stage and that₃ NSF certification was expected to be completed


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successfully in a few short months, after which the IHPWDS would be released into the market. Answer: Untrue Refusal to accept Claim. He met with Doxey in late summer 2008. Answer: Untrue. Although Doxey did disclose to Daniels that the company needed funds to finance the NSF certification, Answer: True, he falsely represented to Daniels that the IHPWDS was completely built, Answer: Untrue, that an inventory of product had been amassed, Answer: Correct, and that the IHPWDS was then undergoing NSF certification. Answer: Untrue.

14. Doxey knew, or was reckless in not knowing, that a) his actions constituted a device, scheme or artifice to defraud; Answer: Emphatic Denial, Forceful Attack Against My Integrity) the statements he made in press releases and to Daniels contained material misrepresentations and omissions; Answer: Untrue, Refusal to Accept Claim and c) he engaged in acts, practices and a course of business that operated as a fraud upon PureH2O's investors. Answer: Emphatic Denial, Merit less and Forceful Attack against My Integrity.

D. UNREGISTERED OFFERS AND SALES

I. From October 2008 through May 2009, Doxey orchestrated twelve private placements of Pure H2O stock to Daniels on behalf of Observation Capital, LLC, which ultimately paid \$57,654 for nearly 360 million shares of Pure H2O. Answer: Challenge the Allegation. At no time was a registration statement pertaining to any of the twelve offerings on file Answer: Challenge the Allegation, or in effect with the Commission. Answer: Reject as Unfounded.

2. Doxey handled every aspect of the offerings and sales, including negotiating the terms with Daniels; documenting or having the transactions documented; being the sole officer and director of the company to sign the subscription agreements on behalf of Pure H2O; procuring legal opinions that the sales were exempt from registration and that the shares should be issued without restrictive legend; and instructing Pure H2O's transfer agent to issue the shares to the investor. Answer: Untrue, Refusal to Accept Claim

3. Each of the twelve offerings was effected purportedly pursuant to Rule 504(b)(1)(iii) of Regulation D of the Securities Act. Answer: Correct. However, this exemption was unavailable because neither Daniels nor Observation Capital qualified as an "accredited investor" under Regulation D. Answer: Challenge the Allegation. additionally, no other exemption from registration applied to the twelve offerings. Answer: Refusal to Acknowledge Relied on Legal Counsel.

4. Within days of receiving each of the twelve offerings' allotment of shares, Observation Capital, at Daniels' direction and under his control as its sole owner, officer and director, began selling the shares into the market, ultimately selling over 258 million of those shares over the course of six months and generating \$73,900.46 in illicit proceeds. Answer: Challenge the Allegation; it was the Company's understanding in communications with Daniels that he had lost money and that there were no funds to pay the third party debt to the Rotondo's. Joe Galardi, Esq. wanted the company to sue Daniels for breach of contract. This is the reason Daniels was not present on the telephone conference January 9, 2014 with the Honorable Judge Cameron.


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E. VIOLATIONS

1. As a result of the conduct described above, Doxey willfully violated Section 10(b) of the Exchange Act and Rules 10b-5(a), (b) and (c) there under, which prohibit fraudulent conduct in connection with the purchase or sale of securities, and willfully violated Sections 17(a)(1), (2) and (3) of the Securities Act, which prohibits fraudulent conduct in the offer and sale of securities. Answer: Emphatic Denial, Forceful Attack.

2. As a result of the conduct described above, Doxey and Daniels willfully violated Sections 5(a) and 5(c) of the Securities Act, which prohibit the direct or indirect sale or offer for sale of securities unless a registration statement has been filed or is in effect. Answer: Deny, Merit Less and Reject as Unfounded, Forceful Attack on Integrity.

3. In view of the allegations made by the Division of Enforcement, the Commission deems it necessary and appropriate in the public interest that public administrative and cease-and-desist proceedings be instituted to determine: Answer: Reject as Unfounded.

A. Whether the allegations set forth in Section II hereof are true and, in connection therewith, to afford Respondents an opportunity to establish any defenses to such allegations; Answer: Shall Challenge all Allegations and will prove why we refuse to accept.

B. Whether, pursuant to Section 8A of the Securities Act and Section 21C of the Exchange Act, Respondent Doxey should be ordered to cease and desist from committing or causing violations of and any future violations of Sections 5(a), 5(c), 17(a)(1), 17(a)(2) and 17(a)(3) of the Securities Act and Section 10(b) of the Exchange Act and Rules 10b-5(1), (2) and (3) thereunder; Answer: Emphatically deny and refuse to accept claim, another forceful attack.

C. Whether, pursuant to Section 8A of the Securities Act, Respondent Daniels should be ordered to cease and desist from committing or causing violations of and any future violations of Sections 5(a) and 5(c) of the Securities Act; Answer: Upon showing the Court specific documents prepared by our legal counsels that were accepted and executed by Daniels of Observation Capital in connection with his consultant agreement, his involvement with the purchase of 3rd party debt, and the recent newly learned information described in Section D -4 above shall be determined by the Honorable Judge Cameron Elliott.

D. What, if any, remedial action is appropriate against Respondents, including, but not limited to, civil penalties pursuant to Section 8A(g) of the Securities Act and Section 21B(a) of the Exchange Act and disgorgement pursuant to Section 8A(e) of the Securities Act; Answer: Emphatic Denial, Forceful Attack.

E. Whether, pursuant to Section 21C(f) of the Exchange Act, Respondent Doxey should be prohibited, conditionally or unconditionally, and permanently or for such period of time as it shall determine, from acting as an officer or director of any issuer that has a class of securities registered pursuant to Section 12 of the Exchange Act, or that is required to file reports pursuant to Section 15(d) of the Exchange Act; Answer: Refusal to Accept, Unwarranted, Merit less and Forceful Attack against my integrity.

F. What, if any, remedial action is appropriate in the public interest against Respondents pursuant to Section 15(b)(6)(A) of the Exchange Act. Answer: Reject as Unfounded

N.

IT IS ORDERED that a public hearing for the purpose of taking evidence on the questions set forth in Section HI hereof shall be convened not earlier than 30 days and not later than 60 days from service of this Order at a time and place to be fixed, and before an Administrative Law Judge to be designated by further order as provided by Rule 110 of the Commission's Rules of Practice, 17 C.F.R. § 201.110.

IT IS FURTHER ORDERED that Respondents shall file Answers to the allegations contained in this Order within twenty (20) days after service of this Order, as provided by Rule 220 of the Commission's Rules of Practice, 17 C.F.R. § 201.220.

If Respondents fail to file the directed answer, or fail to appear at a hearing after being duly notified, the Respondents may be deemed in default and the proceedings may be determined against them upon consideration of this Order, the allegations of which may be deemed to be true as provided by Rules 155(a), 220(f), 221(f) and 310 of the Commission's Rules of Practice, 17 C.F.R. §§ 201.155(a), 201.220(f), 201.221(f) and 201.310.

This Order shall be served forthwith upon Respondents personally or by certified mail.

IT IS FURTHER ORDERED that the Administrative Law Judge shall issue an initial decision no later than 300 days from the date of service of this Order, pursuant to Rule 360(a)(2) of the Commission's Rules of Practice.

In the absence of an appropriate waiver, no officer or employee of the Commission engaged in the performance of investigative or prosecuting functions in this or any factually related proceeding will be permitted to participate or advise in the decision of this matter, except as witness or counsel in proceedings held pursuant to notice. Since this proceeding is not "rule making" within the meaning of Section 551 of the Administrative Procedure Act, it is not deemed subject to the provisions of Section 553 delaying the effective date of any final Commission action.

By the Commission.

Elizabeth M.
Murphy Secretary


1-23-2014

January 2011

The SEC called me telephonically in January 2011 and stated that they were conducting an informal investigation and this is just a routine check-up. This initial call was made by Ms. Alexis, Esq., who left the SEC shortly after. With that said, I volunteered to support them in their efforts, so to speed things up I stated to them "our books and records are available to who ever is qualified to see them, I will also send to you the bank account numbers of our four (4) corporate accounts via email and this way all you have to do is follow the money... numbers do not lie". I sent the SEC four (4) corporate bank accounts, two (2) in Boca Raton, at Atlantic Bank and two (2) in Blairsville, Georgia, one (1) with Appalachian Bank and one (1) with First Community Bank.

Sometime in June of 2011, Nina Finston, Esq. took over the case and we learned that she heads the department. She had left a prestigious law firm and decided to work for the SEC to refer cases to her old partners. Mr. Ryan Farney, Esq., who replaced Alexis is involved in the investigation and is learning the ropes (101).

Finston and Farney sent subpoena's to the following parties: All of the named professionals testified via telephone conference except me. I was called to testify last at the Justice Department office in West Palm Beach this past January 2013. Have not heard anything from SEC until this past July 3rd, when they tried to contact me at 5:30PM before the 4th of July holiday. We did not speak until last Friday July 12 after numerous attempted calls Monday July 8th – Friday July 12th.

National Sanitation Foundation (NSF), located in Michigan, who executed their contract with me and on our behalf of the company to grant a final certification of our Hospital System. Their legal counsel called me to ask for my permission to reveal all agreements, applications, contracts and email communications to the SEC. I told the attorney to send everything in their file. ----- Original Message -

From: Joseph P. Doxey
To: Finstonn@sec.gov
Cc: farney@sec.gov
Sent: Friday, September 07, 2012 11:45 AM
Subject: Fw: NSF 1209.docm

Attention Nina Finston/Farney 090712 11:37am est

I found this email this morning regarding "Contract for Certification" between Pure H2O and NSF. At our last meeting you had stated that NSF denies that they had such Contract or Agreement on file. This email below is dated December 9th 2008, RE: NSF P231 Certification
From: Roush, Marin to: Van Buren; icfelkner. I have highlighted the paragraph in RED as follows: "My files indicate that NSF has received a Contract for Certification Services, but that we still need to receive product information on the purifier(s) to be tested".

----- Original Message -----
From: Ira Felkner
To: Roush, Marin
Cc: Dennis Boudreaux ; Van Buren, Ellen
Sent: Thursday, January 22, 2009 4:13 PM
Subject: Re: NSF P231 Certification

Hi Marin, You did respond and Mr. Doxey is focused on raising funds now. Very difficult in this economy.

Best Regards, Cecil

----- Original Message -----
From: Roush, Marin
To: Ira Felkner
Sent: Thursday, January 22, 2009 2:05 PM
Subject: RE: NSF P231 Certification



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Dear Cecil,

I'm not sure if I ever responded to your last message before I got caught up in the end of the year rush, but thank you for the update. We are very much looking forward to working with you.

Kind regards, Marin

From: Ira Felkner [REDACTED]
Sent: Tuesday, December 09, 2008 4:00 PM
To: Roush, Marin
Cc: Joe Doxey; [REDACTED]
Subject: Re: NSF P231 Certification

Hi, the testing went well and we were able to verify with the delivery system the data we got on the bench...it did work as expected. Right now the delay is because Mr. Doxey has to generate the funds to do the NSF testing/certification under 231. I will let you know when this can proceed. Regards, Cecil

----- Original Message -----

From: Roush, Marin
To: Van Buren, Ellen [REDACTED]
Cc: Roush, Marin
Sent: Tuesday, December 09, 2008 3:34 PM
Subject: RE: NSF P231 Certification

Hi all, I was just reviewing the various projects on which I worked in 2008 and realized that I hadn't received a status update on this one in awhile. Cecil, how did the cryptosporidium testing with Clancy Laboratories go? Are you still interested in proceeding with NSF testing/certification testing under P231?

My files indicate that NSF has received a Contract for Certification Services, but that we still need to receive product information on the purifier(s) to be tested.

Please send me an update when you get a free moment. I hope all is well with you. Kind regards, Marin

From: Van Buren, Ellen
Sent: Wednesday, September 10, 2008 4:00 PM
To: [REDACTED]
Cc: Roush, Marin
Subject: NSF P231 Certification

Dear Cecil,

I hope this email find you well. It's been awhile since I've heard from you, so I wanted to follow up with you on the status of your purifier testing and desire to work with NSF. The last time we spoke, we were waiting for product information from you and the test results from Clancy Labs before issuing an official quote. Have you finished testing at Clancy? Do you have product information that you could send me at this time? Copied on this email is Marin Roush [REDACTED] project manager at NSF. Marin was involved in one of our conference calls and will be managing your certification project. Both Marin and I are anxious to get your project off the ground, as I know you were as well the last time we talked. Please let us know if you have any updates or if anything has changed. We'll look forward to hearing from you!

Best Regards, Ellen Van Buren, Account Manager
NSF International, 789 N. Dixboro Rd., Ann Arbor, MI
Phone: +1-734-827-3822 Fax: +1-734-827-7785

Version: 8.0.176 / Virus Database: 270.10.12/1909 - Release Date: 1/22/2009 7:08 AM



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